

KAZAKH NATIONAL UNIVERSITY them. AL-FARABI

Faculty of Law

Department of Customs, Financial and Environmental Law

FINAL EXAM PROGRAM

Discipline: 68761 Financial law

Speciality -6B04205 Jurispudence

Course - 2

Term - 3

Number of credits – 9

The form of study is full-time

Almaty, 2023

The program of the final exam is based on the educational program 6B04205 Jurisprudence, candidate of Ph.D. Gusev M.A.

Considered and approved at a meeting of the Department of custom, financial and environmental law, dated October 31, 2023, protocol No 5

Head of the Department,
Doctor of Law, Professor



G.A.Kuanalieva

Chairman of the Academic Committee
on the Quality of education and Training
" 08" 11 2023, Protocol No. 3



A.A.Urisbayeva

Presented at the Academic Council of the Faculty
" 15" 11 2023, Protocol No. 3



Academic Secretary



G.M.Atakhanova

Introduction

The final exam in the discipline "68761 Financial Law" for students will be held orally online in ZOOM format.

The final exam in the discipline "Fundamentals of International Financial Law" for full-time students will be held orally at Zoom.

Oral exam: traditional – answers to questions. Oral exam – according to the exam schedule, the student contacts the teacher or representatives of the examination commission through an online platform for webinars. The video recording of the exam is saved for 3 months from the date of the end of the session.

The exam format is synchronous.

The process of passing an oral exam by a student involves the automatic creation of an examination ticket, which the student must answer orally to the examination commission. When conducting an oral exam, a video recording is required.

The oral exam is conducted on the ZOOM platform.

Duration

The time for preparation is decided by the examiner or the examination commission. The time for the answer is decided by the examiner or the examination board. It is recommended 15-20 to answer all ticket questions.

Exam schedule The exam is conducted according to the schedule.

The exam format is synchronous. Synchronous format – the student takes the exam in real time "here and now".

The duration of the exam is from 60 minutes to several weeks, depending on the form.

Students:

Before starting the oral exam, you should check:

1. Internet connection on your work device (computer, monoblock, laptop, tablet), the device must be provided with charging during the entire exam time;
2. the serviceability of the web camera and microphone.

30 minutes before the start of the exam, all students of the group enter the video conference room organized by the teacher or members of the commission using the link specified in the rules of the final exam (sent by the teacher / the commission's shuttles in case of disruption of the video service).

30 minutes before the start of the exam, the possibility of logging into the Univer.kaznu system is checked.kz via any browser, but preferably via Google Chrome (in case of loss of login and / or password, the student must contact the supervisor-adviser before the exam begins). After verification, they log out of the account waiting for the commission invitation.

A student does not have the right to open a ticket before an individual invitation by the commission to take the exam. Only at the request of the commission, the student logs into the univer IP account, and opens his ticket for the video recording.

When the exam start time arrives, the student who is called by the commission shows his identity card to the camera. Enables screen demonstration. Log in to your Univer IP account, go to the "Exam Schedule" page, select the current exam by clicking on

the "Take an oral exam" button.

3. The "Take oral exam" function is active only after the start of the exam time;
4. The "Take an oral exam" function is active only for those students who have unclosed final statements (exam, retake, Incomplete).

After clicking on the link "Take an oral exam", a window will open where the student will see the questions of his exam ticket.

The student shows a screen with ticket questions, reads them out loud. Translates the display of the VCS service to the camera and prepares for the response.

Upon completion of his answer, he leaves the video conference hall.

If ZOOM is used for technical reasons, the examiner must distribute the exam into periods of 30-40 minutes for reconnection. The student must complete the exam in time for one session. It is forbidden to start responding in one session and finish after reconnecting.

If for technical reasons (power outage, disconnection or low Internet speed) a student who has already opened his ticket is absent from the exam online for more than 10 minutes, then his answer is canceled. The exam is postponed to another date in agreement with the Department of Academic Affairs.

The video recording is turned off only at the end of the exam, when the answers of all examinees are accepted.

Based on the results of the exam:

1. The examination board and the teacher certify the exam participants.
2. They put points in the final statement in the Univer IC.

The time for putting points in the attestation sheet for the oral exam is 48 hours.

Evaluation policy:

Criteria-based evaluation: evaluation of learning outcomes in accordance with descriptors, checking the formation of competencies (learning outcomes) at intermediate control and exams.

The assessment of examination papers is carried out on a 100-point scale, taking into account the degree of completeness of the student's answer:

Grade	Criteria				
Excellent	1. Correct and complete answers to all theoretical questions are given; 2. Completely solved practical task; 3. The material is presented correctly in a logical sequence; 4. Demonstrated creativity.				
good	1. Correct but incomplete answers to all theoretical questions are given, minor errors or inaccuracies are made; 2. The practical task was completed, but a minor mistake was made; 3. The material is presented correctly in a logical sequence.				
Satisfactory	1. Answers to theoretical questions are in principle correct, but incomplete, there are inaccuracies in the wording and logical errors; 2. The practical task has not been fully completed; 3. The material is presented correctly, no logical sequence				
Unsatisfactory	1. Answers to theoretical questions contain gross errors; 2. The practical task has not been completed; 3. In the presentation of the answer, grammatical and terminological errors were made, no logical sequence				
Letter grade	Digital	Scores	in	Grade	under

	equivalent	percentage (%)	traditional system
A	4	95-100	Excellent
A-	3,67	94-90	
B+	3,33	85-89	good
B	3,0	80-84	
B-	2,67	75-79	
C+	2,33	70-74	satisfactory
C	2,0	65-69	
C-	1,67	60-64	
D+	1,33	55-59	
D-	1,0	50-54	
FX	0,5	25-49	unsatisfactory
F	0	0-24	

Key topics for exam preparation

Topic 1. The concept of international financial law: the subject, methods of legal regulation. Theoretical approaches to the doctrine of international financial law. The system of science of international financial law. The structure of international financial law.

Topic 2. International financial relations and norms of international financial law. Concept and classification of norms of international financial law. International financial relations. Types of modern international financial relations (investment, banking, currency, etc.). The system of international financial relations.

Topic 3. Sources and principles of international financial law. General principles of law, principles of international law. Special principles of international financial law. The essence and features of the sources of international financial law. Types of sources of international financial law. International model financial contracts as sources of international financial law. Conflict rules in international financial law governing relations with a foreign element.

Topic 4. Subjects of international financial law. Subjects of international financial law: the ratio of public and private elements in the IFP. States - subjects of the IFP. International financial organizations as subjects of international financial law.

Topic 5. Subjects of international financial law. International financial institutions, credit organizations and transnational corporations as subjects of international financial law. International non-governmental organizations, groups and unions in the system of subjects of international financial law. Individuals, individuals and legal entities and international law.

Topic 6. The concept and types of objects of international financial law. International legal regime of the turnover of financial resources in banking.

Securities as an object of international legal regulation in global financial activity. Money as an object and instrument of international trade. Institutional foundations of the Bretton Woods monetary and financial system. Historical roots of the modern international financial system. Sources of regulation of international financial relations. Modern international financial system

Topic 7. International Settlement Law. International payment law. Unfair competition in the world credit markets and measures to prevent it. International responsibility in the banking sector.

International legal regulation of the prevention of insolvency of credit institutions. Creation and functioning of transnational banks.

Topic 8. The role of foreign investment in the globalization of the world economy. International legal regulation of foreign investments on a multilateral basis. Legal regulation of foreign investments on a bilateral basis. Investment Promotion and Protection Agreements (IPPAs). Models of bilateral agreements on the promotion and protection of investments. Multilateral Investment Guarantee Agency (MIGA). Agreement on Trade-Related Investment Measures (TRIMs).

Topic 9. The concept of international monetary law. IMF Monetary System Regime. Functions of the IMF to provide financial assistance to member countries. Main achievements and tasks of improving the IMF system. Legal regulation of international credit relations. Public law international credit relations. Problems of settlement of state debt obligations ("sovereign" debts).

Topic 10. Legal aspects of international taxation in foreign economic activity. International legal principles of taxation in foreign economic activities. Unification and harmonization of norms on taxation in international relations

Topic 11. Conceptual bases of international legal regulation of the securities market and financial instruments. The value of the norms of national and international financial law in the regulation of the securities market. international legal regulation clearing and depository activity in the securities market.

Topic 12. International legal regulation of insurance. Modern financial risk insurance contracts. International legal principles of insurance supervision

Topic 13. The concept of international debt law. Kazakhstan and external debt issues. On the sovereign debt market. Stabilization programs of the IMF. Public debt management. Service and restructuring of public debt.

Topic 14. International credit system and international credit law. The concept of international credit. Types of international credit. Crediting of foreign trade. Interbank credit market. The role of the OECD in the international credit system.

Topic 15. Institute for Combating Money Laundering at the IFP. On money laundering and combating it. Combating money laundering within the European Union. "Special Financial Commission on Money Laundering" (FATF) and its recommendations.

Literature:

1. Main:

1. International financial law: textbook / V.M. Shumilov, I.M. Lifshits. - 3rd ed., revised. and additional - Moscow: JUSTICE, 2020. - 302 p.
2. Petrova, GV International financial law: a textbook for universities / GV Petrova. — 2nd ed., corrected. and additional - Moscow: Yurayt Publishing House, 2023. - 319 p. - (Higher education). - ISBN 978-5-534-11476-8. — Text: electronic // Educational platform Urayt [website]. - url:<https://urait.ru/bcode/510489>
3. International tax law: textbook / Gracheva E.Yu., Machekhin V.A., Arzumanova L.L. – Publisher: NORMA, 2018.-200 p.
4. Maulenov, K. S., International economic law: textbook / K. S. Maulenov, I. V. Gudkov, Yu. V. Shumilov, ; ed. V. M. Shumilova. - Moscow: Justice, 2021. - 580 p. - ISBN 978-5-4365-5857-8. - url:<https://book.ru/book/938915>

2. Optional:

1. International investment law: course of lectures / comp. L.F. Tratsevskaya. - Vitebsk: VSU named after P.M. Masherova, 2022. - 50 p.
2. Erpyleva N.Yu. International banking law: theory and practice of application M.: Publishing House of the Higher School of Economics. 2012. - 670 p.http://www.bibliorossica.com/book.html?search_query=%D0%BC%D0%B5%D0%B6%D0%B4%D1%83%

Internet resources

1. <http://elibrary.kaznu.kz/ru>
2. <https://adilet.zan.kz/kaz/>
3. www.un.org United Nations (UN)

CRITERIA-BASED ASSESSMENT RUBRICATOR OF FINAL CONTROL**Discipline: Financial law. Form: Orally online. Platform: ZOOM.**

№	Score	DESCRIPTORS				
		"excellent"	"good"	"satisfied"	"unsatisfactory"	
		90-100%	70-89%	50-69%	25-49%	0-24%
Criterion						
1	Understanding the general characteristics and types of legal regulation of agricultural entrepreneurship 11 points	Deep understanding of financial law.	Understanding financial law.	Average understanding of financial law. References (citations) to primary sources are relevant and relevant.	Limited understanding of financial law. relevant and relevant references (citations) to primary sources.	Superficial understanding/misunderstanding of financial law. Current and relevant links (citations) to primary sources are not provided.
	Understanding the main problem of agricultural entrepreneurship 11 point	This is a very good link between the comparison of the legislation of the Republic of Kazakhstan in the financial and legal sphere. With perfectly valid arguments obtained as a result of empirical research (for example,	This very well links the comparison of the legislation of the Republic of Kazakhstan in the financial and legal sector..	It connects the comparison of the legislation of the Republic of Kazakhstan in the field of financial law.	Limited use of financial law.	Compared to the legislation of the Republic of Kazakhstan regulating financial law.

		comparative legal or statistical analysis).				
	Policy proposal or practical recommendations / suggestions 11 points	Preparation of competent scientific and / or practical recommendations related to financial law..	Offers some considerations and / or practical recommendations and suggestions related to financial law..	Limited practical recommendations. The recommendations are superficial, not based on a thorough analysis, and not critical.	There are few or no practical recommendations, or they are of very poor quality.	There are few considerations and practical recommendations related to financial law.
2	You understand concepts with theory 11 points	are given for the general concept of the main concepts.	Theoretical concepts of CSOs 'finance law.	The recommendations are superficial, not based on a thorough analysis	of the very poor quality	concept recommendation, and there are very few practical recommendations related to financial law..
	You understand the main questions 11 points	Hand some considerations, recommendations in financial management..	General concept of terminology about financial law.	Discloses the content of the rights to develop rules of personal conduct to prevent unjustified initiation of cases	Basic theoretical issues moderately disclosed	Basic issues of financial law poorly disclosed.
	or practical suggestions / recommendations 11 points	Comparison of the legislation of the Republic of Kazakhstan regulating financial law.	Supports arguments with empirical research	and demonstrates the clarity, accuracy, and correctness of financial analysis.	Limited use of empirical research data	Empirical research is not used in practice.
3	Express yourself in concepts with theory 11 points	Perfect substantiation of arguments with empirical research facts	Connects concepts with theory very well	Connects concepts to theory Very well Hbo connects concepts to	Limited use of evidence in theory	They don't use concepts

				theoryHiro connects concepts with theory		
	at all Express yourself in basic questions 11 points	Perfect argumentation of basic questions	It connects concepts well with scientific data.	Limited use of evidence	Answers don't match the main question	The main answers are of very poor quality.
	oral language, style, and speech literacy 12 points	In oral form demonstrate clarity, accuracy, and correctness.	Verbally demonstrates clarity, accuracy, and correctness.	There are a few basic errors in the oral form, and clarity should be improved.	It is not clear what is written, the questions do not correspond to the answer	It is not clear what is written, it is difficult to keep track of the content.

Formula for calculating the final grade:

+Final grade (KB) = (B1+B2+B3+B4+B5+B6+B7+B8+B9+B10) / 6K, here B is the scoring criterion, K is the general assessment criterion.

Example of calculating the final score

№	Score	«Excellent»	«Good»	«Satisfactory»	«Unsatisfactory»	
		90-100 %	70-89%	50-69%	25-49%	0-24%
1.	Criteria 1	100				
2.	Criteria 2		75			
3.	Criteria 3			60		
4.	Criteria 4				45	
5.	Criteria 5	100				
6.	Criteria 6				49	
	Final %	200	75	60	94	200+ 75 + 60 + 94 = 429 429 / 6 criteria= 71,5 Final score, as % = 72

Based on percentage obtained during the calculation, we can compare the score with the rating scale.

72 points range from 70 points to 89 points, which corresponds to the “Good” category according to the grading scale.

Thus, with this calculation, the project will be rated **72 points “Good”** in accordance with the point-rating letter system for assessing educational achievements students with their transfer to the traditional grading scale and ECTS.